

2600 5610 JC19 Rec'd PCT/PTO 16 MAY 2001  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: Junichi AIZAWA, et al.

Application No.: New PCT Application

Filed: May 4, 2001

For: RADIO TRANSMISSION/RECEPTION APPARATUS AND RADIO  
COMMUNICATION METHOD

TRANSMITTAL LETTER ACCOMPANYING FORM PTO-1390  
(SECOND OR SUBSEQUENT SUBMISSION OF ITEMS)

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

On May 4, 2001, each of the items listed on the attached sheet were filed as a First Submission of Items Concerning a Filing Under 35 U.S.C. 371. To ensure receipt by the deadline of May 17, 2001, the attached second submission provides another copy of the English language translation and the Declaration of the inventors. If necessary, the total national fee required may be charged to Deposit Account No. 19-4375.

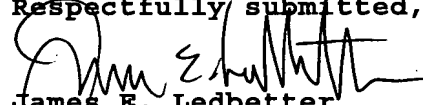
Issuance of a Notification of Acceptance is respectfully solicited.

Date: May 16, 2001

JEL/ejw

ATTORNEY DOCKET NO. L9289.01133

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Respectfully submitted,  
  
James E. Ledbetter  
Registration No. 28,732

FORM PTO-1390 (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

**L9289.01133**

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

INTERNATIONAL APPLICATION NO.  
**PCT/JP00/06240**INTERNATIONAL FILING DATE  
**September 13, 2000**PRIORITY DATE CLAIMED  
**September 17, 1999**

TITLE OF INVENTION

**RADIO TRANSMISSION/RECEPTION APPARATUS AND RADIO COMMUNICATION METHOD**

APPLICANT(S) FOR DO/EO/US

**Junichi AIZAWA, Osamu KATO, Mitsuru UESUGI, and Takeshi AKIYAMA**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☒ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 20 below concern document(s) or information included:**

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☐ Other items or information:

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR	INTERNATIONAL APPLICATION NO. <b>PCT/JP00/06240</b>	ATTORNEY'S DOCKET NUMBER <b>L9289.01133</b>
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24. The following fees are submitted:.

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :**

☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... **\$1000.00**

☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... **\$860.00**

☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... **\$710.00**

☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... **\$690.00**

☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... **\$100.00**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	11 - 20 =	0	x \$18.00	<b>\$0.00</b>
Independent claims	4 - 3 =	1	x \$80.00	<b>\$80.00</b>
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$940.00</b>
<input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				<b>\$0.00</b>
<b>SUBTOTAL =</b>				<b>\$940.00</b>
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				<b>\$0.00</b>
<b>TOTAL NATIONAL FEE =</b>				<b>\$940.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).			<input checked="" type="checkbox"/>	<b>\$40.00</b>
<b>TOTAL FEES ENCLOSED =</b>				<b>\$980.00</b>
				Amount to be: refunded \$
				charged \$

**CALCULATIONS PTO USE ONLY**

a. ☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 19-4375 in the amount of \$940.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-4375 A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

James E. Ledbetter, Esq.  
**STEVENS, DAVIS, MILLER & MOSHER, LLP**  
1615 L Street, N.W., Suite 850  
Washington, DC 20036  
Tel: 202-785-0100  
Fax: 202-408-5200

SIGNATURE

**James E. Ledbetter**

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NAME

**28,732**

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REGISTRATION NUMBER

**May 16, 2001**

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DATE

## DOCKET CLERK COPY

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.

1615 L Street, NW, Suite 850

Washington, DC 20036

THE PATENT AND TRADEMARK OFFICE OFFICIAL FILING DATE STAMP HEREON IS  
ACKNOWLEDGMENT OF FILING:

<u>    </u>	Preliminary Amendment	<u>XX</u>	Verified Transl. of PCT Interntl. Appln. of PCT/JP00/06240 including:
		<u>12</u>	Pages of Specification
		<u>11</u>	Claims
<u>XX</u>	Claim for Priority w/PCT/IB/304 (in Japanese)	<u>XX</u>	One pg. Abstract of the Disclosure
<u>XX</u>	PCT/IB/308 (in Japanese)	<u>8</u>	Pages of Drawings
<u>XX</u>	PCT/RO/101 (in Japanese)	<u>XX</u>	Assignment Document w/PTO-1595 Small Entity Declaration
<u>    </u>	PCT/IPEA/416 (in French w/Engl. translation)	<u>XX</u>	Information Disclosure Statement w/PTO-1449 w/PCT Interntl. Search Report dtd 12/12/00, 7 JP. refs., 3 Partial Engl. translations; 2 Abstracts; and 2 EP refs.
<u>    </u>	PCT/IPEA/409 (in French w/Engl. translation)		
<u>    </u>	Verification of a Translation of the amended sheets of Intern'l Appln. No.		
<u>XX</u>	Transmittal Ltr. to U.S. Designated/Elected Office (in dup.)		
<u>XX</u>	Check No. 16266 for \$980.00		

APPLICANTS: Junichi AIZAWA, et al  
TITLE: RADIO TRANSMISSION/RECEPTION APPARATUS AND RADIO  
COMMUNICATION METHOD

DATE: May 4, 2001

DOCKET NO.: L9289.01133

APPLN. NO.: New PCT Patent Application

CLIENT NAME: Washida & Associates

CLIENT NO.: 9289

CLIENT REF. #: 2F00123-US-P-ATK\mo

ASSIGNEE: Matsushita Electric Industrial Co., LTD

Date Filed: May 4, 2001

Old Due Date: May 17, 2001

New Due Date: (SIL)

Attorney Initials: DM